

CANDIDATE QUESTIONNAIRE
COMPTROLLER GENERAL, GOVERNMENT ACCOUNTABILITY OFFICE

A. BIOGRAPHICAL INFORMATION

1. **Name:** (Include any former names used.)
2. **Address:** (List current place of residence and office addresses.)
3. **Date and place of birth:**
4. **Marital status:** (Include maiden name of wife or husband's name.)
5. **Education:** List secondary and higher education institutions, dates attended, degree received and date degree granted.
6. **Employment record:** List all jobs held since college, including the title or description of job, name of employer, location of work, and dates of employment.
7. **Military Service:** List any military service, including dates, rank, and type of discharge.
8. **Government experience:** List any advisory, consultative, honorary or other part-time service or positions with federal, state, or local governments, other than those listed above, and the dates of such positions.
9. **Security Clearance:** Have you ever held a U.S. government security clearance? If so, please provide details on the dates and type of clearance.
10. **Previous Appointments:** Have you ever been nominated for a position requiring confirmation by the Senate? If so, please list each such position, including the date of nomination, Senate confirmation, and Committee hearing, if any.
11. **Business relationships:** List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business enterprise, educational or other institution, and the dates of such positions.
12. **Memberships:** List all memberships and offices held in professional, business, fraternal, scholarly, civic, public, charitable, and other organizations.
13. **Political affiliations and activities:**
 - (a) List all offices with a political party which you have held or any public office for which you have been a candidate.

- (b) List all memberships and offices held in and services rendered to all political parties or election committees during the last five years.
- (c) Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$50 or more for the past five years.

- 14. **Honors and awards:** List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals and any other special recognitions for outstanding service or achievements.
- 15. **Published writings:** List the titles, publishers, and dates of books, articles, reports, or other published materials which you have written.
- 16. **Congressional testimony:** Have you ever testified before a Committee of the Congress? If so, please provide details, including date(s).

B. FINANCIAL INFORMATION

The position of Comptroller General is subject to the disclosure requirements of the Ethics in Government Act, P.L. 95-521. Accordingly, the nominee for this office will be required to make extensive financial disclosures pursuant to that Act. As the Commission proceeds with its work, you may be asked to provide a financial disclosure statement.

C. POTENTIAL CONFLICTS OF INTEREST

- 1. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.
- 2. Describe any business relationship, dealing or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.
- 3. Describe any activity during the past ten years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat or modification of any legislation or affecting the administration and execution of law or public policy.
- 4. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

D. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics for unprofessional conduct by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, provide details.

2. Have you ever been investigated, arrested, charged, or held by any federal, state, or other law enforcement authority for violation of any federal, state, county or municipal law, regulation or ordinance, other than a minor traffic offense? If so, provide details.

3. Have you or any business of which you are or were an officer ever been involved as a party in interest in any administrative agency proceeding or civil litigation? If so, provide details.

4. Have you ever been convicted (including pleas of guilty or nolo contendere) of any criminal violation other than a minor traffic offense?

5. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be considered in conjunction with your candidacy.

AFFIDAVIT

_____ being duly sworn, hereby states that he/she has read and signed the foregoing Candidate Questionnaire and that the information provided therein is, to the best of his/her knowledge, current, accurate, and complete.

Subscribed and sworn before me this _____ day of _____, 20__.

Notary Public

POLICY QUESTIONS

1. How would you describe the mission of the Government Accountability Office?
2. What do you believe are the most important functions and role of the Comptroller General?
3. Why are you interested in serving as the Comptroller General, and what would you hope to accomplish?
4. What do you believe in your background or employment experience affirmatively qualifies you for this particular appointment?
5. Some analysts have suggested the next Comptroller General be well-versed in business management practices and information technology. Others maintain that the position demands a thorough background in auditing and accounting. What are your views?
6. How do you envision the relationship and responsibilities of GAO to (a) the President, (b) the Congress, and (c) other executive branch agencies?
7. GAO's reputation for integrity through the years has depended upon its objectivity, independence, and non-partisanship. How will you carry on this tradition, and what specifically in your background demonstrates your ability to do so?
8. What do you believe are the most important accomplishments of GAO in the recent past, from an organizational and operational perspective?
9. Where do you see the greatest need for improvement with respect to GAO's organization and operations? How would you propose they be addressed?
10. What would your top priorities be as Comptroller General? How would you maintain GAO's vitality while attracting and retaining a competent work force?
11. In recent years, there have been several bills introduced which would make changes to GAO's statutory authority. What, if any, statutory modifications do you think Congress should give serious consideration to at this time?
12. If nominated and confirmed, do you expect to serve out the full 15-year term?